FIPS 201 Evaluation Program

Office of Technology Strategy

April Giles

23 August 2006

Presentation Agenda

- GSA FIPS 201 EP Lab Instantiation Status
- Stepping through the Evaluation Process
- Frequently Asked Questions
- Supplier Application Tips
- ?'s

GSA FIPS 201 EP
Lab Instantiation Status

- # Phase 1 & 2 complete
  - 1st Stage-- Card/Reader Interoperability Req.
  - 2nd Stage-- Evaluation Program mod dev
- # Phase 3 in-progress
  - Task Deliverables
    - Fully Configured lab
    - Maintenance EP Lab documentation
    - Operate EP Lab

Stepping Through
the Evaluation Process

1. Determine if product/service requires EP lab evaluation.

   a. Read Evaluation Programs' FIPS 201 Product/Service Category List. <[wksht](#)>

   b. Determine if your product/service functionality aligns with category description

2. Make business decision to determine whether or not to commit to Evaluation Process.

- Reading AP & TP (if applicable)
- Gathering Test data, Supplier documentation
- Aligning UUE functionality with AP requirements
- Eventually….fees

3. Get login form signed by "C" level, and email to EP.

<Login form>

FIPS 201
Evaluation Program

User name: [   ]

Password: [   ]

[Log in]

Welcome

Welcome to GSA's FIPS 201 Evaluation Program site.

What would you like to do?

1. **Register for EP Information Day.**

2. **Submit a product or service for evaluation.**
   You will need to obtain a login before you can submit a product or service.

3. Get information on the acquisition process.

4. View the approved products list.

5. Enroll in the Evaluation Program Supplier Catalog.

6. Check on the status of products/services.

7. View recent announcements.

8. Learn about current labs.

9. Contact us.

10. Obtain a login.

Documentation

Product/Services Category List

Evaluation Program CONOPS

Requirements Traceability Matrix

Acquisition Process

Lab Specification

Configuration Management Plan
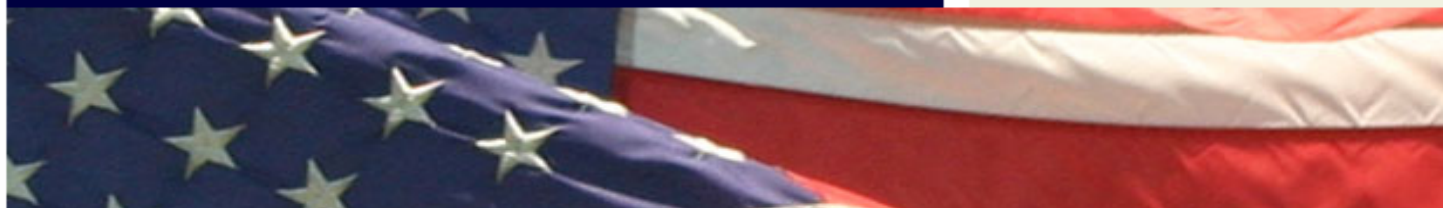
Supplier VDR_VTDR Justification

Approval Procedures

Test Procedures

Special Publications

Supporting Documents

View All Documents

FIPS 201
Evaluation Program

Obtain Login

In order to obtain a user login to the FIPS 201 Evaluation Program web site, please contact:

FIPS 201 Evaluation Program Lab

fips201eplabmain@gsa.gov

Only one person from a given vendor company may have a login to the FIPS 201 Evaluation Program site.

Include the following information in your correspondence:

1. Name
2. Organization
3. E-Mail Address
4. Phone Number
5. Completed Login Form

FIPS-201 Documentation

Product/Services Category List

Evaluation Program CONOPS

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Acquisition Process

Lab Specification

Configuration Management Plan

Non-conformance Review Form

Card to Reader Interop Guideline
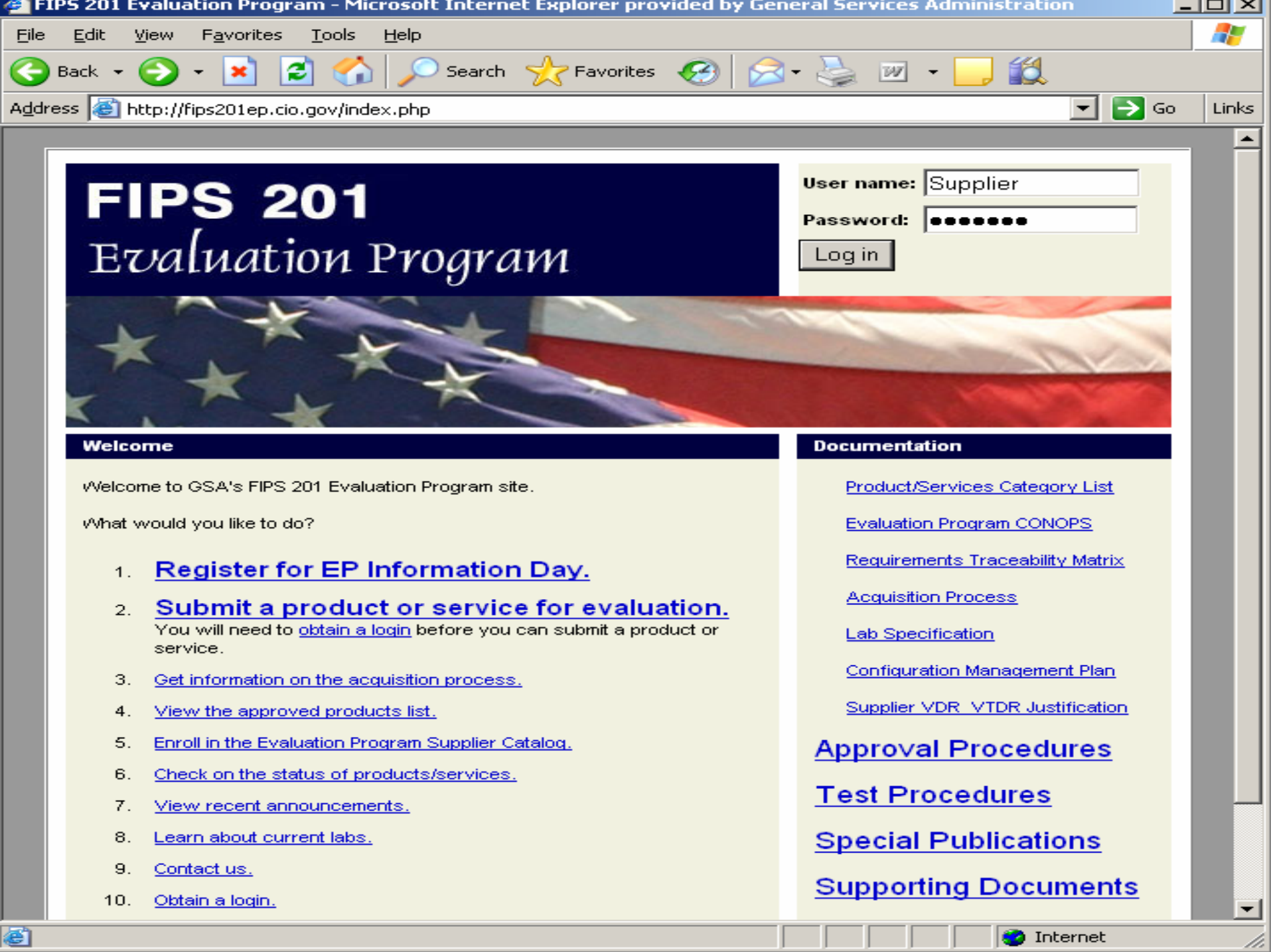
Approval Procedures

Test Procedures

Special Publications

Supporting Documents

4.   EP will reply with username and password.

  a.   Only 1 user per organization.

  b.   Allows access to Vendor web enabled status tool.

  c.   Can only view status for own UUE

    » 28 statuses

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User name: Supplier

Password: •••••••

[Log in]

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28 Statuses

01. Begin Application
02. Package Submitted
03. Package Complete
04. Evaluation Scheduled
05. Evaluation In Progress
05a. SV Begun
05a. SV Complete
05b. VTDR Begun
05b. VTDR Complete

05c. LTDR Begun
05c. LTDR Complete
05d. VDR Begun
05d. VDR Complete
05e. C Begun
05e. C Complete
05f. A Begun
05f. A Complete
06. Evaluation Complete

Red = Automatically upgrades   Yellow = Approval Mechanisms

28 Statuses – Cont'd

07. Evaluation Report In Progress
08. Evaluation Report Complete
09. Evaluation Report Under Review
10. Awaiting Gov Approval Authorization
11. Approved
12. Non-Conformant
13. Awaiting Material Review
14. Material Review In Progress
15. Material Review Complete
16. Application Rejected

| List of Included Hardware/Software Components: | none |

Status History

16. Application Rejected
2006-07-17 07:29:55

Reasons for rejection:
Duplicate Application Deletion Required
Incomplete Application Online Form
Incomplete Justification Worksheet
Incomplete VDR
Incomplete VTDR
Justification Claims Not Substantiated
Missing Attestation
Missing Justification Worksheet
Missing Lab Services Agreement
Missing NDA
Missing VD
Missing VTDR
Product Not Received by Lab
Wrong Category

Please upload all documents and forward product (if applicable), as required by Lab Application Rejection letter. Response from applicant due on or before 2006-07-31 or lab will deem application rejection final, and application will be deleted.
(April Giles)

02. Package Submitted
2006-07-17 07:29:08

(April Giles)

01. Begin Application
2006-07-17 07:27:26

The object has been posted. (Vendor X)

5. Supplier's technical representative thoroughly reads applicable Approval Procedure (and TP if applicable).

a. Categories with TP are;

- » Chuid Reader (2)
- » Authentication Reader
- » Electro-Sleeve
- » PIV Card
- » Transparent Reader
- » Electronic Personalization Device

6. Vendors' technical representative determines which vendor documents can be submitted to EP as support for applicable approval mechanisms

- Supplier VTDR_VDR justification
  - <Supplier VTDR_VDR justification form>
- Certification documentation
- Attestation Sheet

- **Steps 1-6 occur before Supplier submits online APL application!**

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7. Supplier's (authorized user) completes online application form.

- Select:

    "Apply for product/service evaluation"

- Supplier completes online application form

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FIPS 201 Evaluation Program

[| **View Products and Services** | **Apply for Product/Service Evaluation** | **Submit Document Package** | **PIV Card Data Objects** | **Log Out** |]

Products and Services

Click on any product's name in order to view more information on that product.

Supplier	Product	Lab	Current Status	Date	Product Category
Vendor X	62: Test Product	GSA Evaluation Program Main	02. Package Submitted	2006-06-01	Transparent Reader
Vendor X	71: noneany	GSA Evaluation Program Main	02. Package Submitted	2006-06-13	Authentication Key Reader

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FIPS 201 Evaluation Program

[| **View Products and Services**| **Apply for Product/Service Evaluation**| **Submit Document Package**| **PIV Card Data Objects**| **Log Out**|]
[| **Edit Application** |]

Case Number 196: XYZ Widget

Lab:	Main
Description:	This is a test Product
Status:	16. Application Rejected
Contact:	April Giles
Email:	april.giles@gsa.gov
Phone:	2025011123
Supplier:	Vendor X
Product Category:	Authentication Key Reader

8. UUE Status automatically changes to "Begin Application"

    a.   Instructions on how to view status of application are as follows:

        i.   Log in

        ii.   "View Products & Services" page is loaded. Select UUE (Product or Service)

        iii.   Scroll down to "Status History" section. Topmost status is most current.

9.   Supplier's authorized user uploads Supplier VTDR_VDR justification form, and supporting documents (if applicable)

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10.   UUE Status automatically changes to "Package Submitted"

    a.   Even if all documents are not loaded, status will still change to "Package Submitted"

    b.   Supplier has 5 days to complete application and forward product (if app)

    c.   List of supplier uploaded files will be shown in "Related Files" section

FIPS 201
Evaluation Program

FIPS 201 Evaluation Program

[| **View Products and Services**| **Apply for Product/Service Evaluation**| **Submit Document Package**| **PIV Card Data Objects**| **Log Out**|]
[| **Edit Application** |]

Case Number 196: XYZ Widget

Lab:	Main
Description:	This is a test Product
Status:	16. Application Rejected
Contact:	April Giles
Email:	april.giles@gsa.gov
Phone:	2025011123
Supplier:	Vendor X
Product Category:	Authentication Key Reader

Status History

16. Application Rejected 2006-07-17 07:29:55	Reasons for rejection: **Duplicate Application Deletion Required** **Incomplete Application Online Form** **Incomplete Justification Worksheet** **Incomplete VDR** **Incomplete VTDR** **Justification Claims Not Substantiated** **Missing Attestation** **Missing Justification Worksheet** **Missing Lab Services Agreement** **Missing NDA** **Missing VD** **Missing VTDR** **Product Not Received by Lab** **Wrong Category** Please upload all documents and forward product (if applicable), as required by Lab Application Rejection letter. Response from applicant due on or before 2006-07-31 or lab will deem application rejection final, and application will be deleted. (April Giles)
02. Package Submitted 2006-07-17 07:29:08	(April Giles)
01. Begin Application 2006-07-17 07:27:26	The object has been posted. (Vendor X)

Stepping Through
the Evaluation Process

11. Lab reviews application, and supporting documentation to determine package completeness.
    - As defined by Approval Procedure

12. If Lab determines application submitted is complete then lab changes UUE Status to "Package Complete"
    - If Lab determines application not complete then application status will change to "Application Rejected" and an email will be generated and forwarded to Supplier, requesting additional documentation or material.
    - Applicant will have 10 days to respond with appropriate documentation/product before rejection is final

- Supplier reactive from this point forward

13.   Lab changes UUE Status to "Evaluation in Progress"

   a.   10 business days to complete

14. Lab completes evaluation as defined by applicable "Approval Procedure"

15. Lab changes status to "Evaluation Complete"

16. Lab generates an Evaluation Report.

17. Lab makes recommendation to Approval Authority of "Conformant" or "Non-Conformant".

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20. Lab informs Supplier representative of Approval Authority's decision via email.

a. If approved, lab will forward approval forms (to Supplier's authorized representatives email), and add Supplier to Approved Product List online.

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FIPS 201 Evaluation Program Approved Product List

Supplier	Category	UUE Name	UUE #	H/W ver	S/W ver	F/W ver	Contact Name	Contact #	Valid Date	Restrictions
Verisign, Inc.	Shared Service Provider	Verisign SSP PKI	n/a	n/a	1.1	n/a	Nicholas F. Piazzola	410-691-2100	6/14/06	none
ORC, Inc.	Shared Service Provider	ORC ACES/SSP	n/a	n/a	3.3.1	n/a	Daniel Turissini	703-401-1706	6/15/06	none
Cybertrust, Inc.	Shared Service Provider	Cybertrust Federal SSP	n/a	n/a	n/a	n/a	Thomas Greco	443-367-7052	6/20/06	none
Cogent Systems, Inc.	Template Generator	BioSDK 4.1/COGENT BSP	00170A47	n/a	4.1	n/a	Anne Wang	626-463-6003	6/28/06	none
Cogent Systems, Inc.	Template Matcher	BioSDK 4.1/COGENT BSP	00170A45	n/a	4.1	n/a	Anne Wang	626-463-6003	6/28/06	none
Cross Match Technologies Inc.	Fingerprint Capture Station	ID 500	ID 500	n/a	n/a	n/a	Paul Frasca	703-841-6285	6/28/06	none
Cross Match Technologies Inc.	Fingerprint Capture Station	ID 500M	ID 500M	n/a	n/a	n/a	Paul Frasca	703-841-6285	6/28/06	none
Cross Match Technologies Inc.	Fingerprint Capture Station	ID 700	ID 700	n/a	n/a	n/a	Paul Frasca	703-841-6285	6/28/06	none
Cross Match										

Frequently Asked Questions

- How long will it take to get on the APL?

- AGENCY- How do I determine the status of a suppliers application?

- http://fips201ep.cio.gov/faq.php

- CO- How do I know if the item being purchased is approved?

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Application Rejection Cause

Product Not Received by Lab	20
Missing Justification Worksheet	28
Missing VDR	25
Missing VTDR	23
Missing Attestation	28
Missing NDA	28
Missing Lab Services Agreement	18
Duplicate Application Deletion required	0
Wrong Category	1
Incomplete Application Online Form	28
Justification Claims not substantiated	5
Incomplete Justification Worksheet	0
Incomplete VDR	0
Incomplete VTDR	0
Incomplete Attestation	2

Supplier Application Tips

- Read Approval Procedures before completing online application

- Do not make changes to the Attestation Forms

- Complete online application when product/service actually exists

- Make sure contact persons' telephone number is answered

- Don't upload documents until a completed app package is ready

- Add a contact number to all emails forwarded to me

Questions ?

April Giles –

FIPS 201 Evaluation Program Chief Architect

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